| Electronic Acknowledgement Receipt   |   |  |  |  |
|--------------------------------------|---|--|--|--|
| EFS ID:                              | 3143932   |  |  |  |
| Application Number:                  | 09801848  |  |  |  |
| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 1156  |  |  |  |
| Title of Invention:                  | Platform for market programs and trading programs |  |  |  |
| First Named Inventor/Applicant Name: | Christopher Keith                                 |  |  |  |
| Customer Number:                     | 52531   |  |  |  |
| Filer:                               | Kevan L Morgan/Janet Berdine                      |  |  |  |
| Filer Authorized By:                 | Kevan L Morgan                                    |  |  |  |
| Attorney Docket Number:              | 125462  |  |  |  |
| Receipt Date:                        | 11-APR-2008                                       |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)                       |  |  |  |

## Payment information:

| Submitted with Payment                   | yes             |
|--|-----------------|
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$930           |
| RAM confirmation Number                  | 3558            |
| Deposit Account                          | 031740          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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|--|--|--|--|--------------|-----------------|
| Warnings: Information:  2  Amenda  Applicar  | Multipa  Document Decent Submitted/Entered   | 25462_Amendment_Submitt ed_With_RCE.pdf  rt Description/PDF files in scription  d with Filing of CPA/RCE | 85505 ed2d5d0a7902c0e1a2f00dc818831a1c dc18b9b6  .zip description  Start  1  | yes <b>E</b> | 23<br><b>nd</b> |
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| Amenda                                       | Document December 1 December 1 December 1 December 2 De | rt Description/PDF files in scription  d with Filing of CPA/RCE  | .zip description  Start  1 2   | E            | <b>nd</b>       |
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| Applicar                                     | nent Submitted/Entered   | d with Filing of CPA/RCE   | 2  |              | 1               |
| Applicar                                     | Specificat   | tion   | 2  | :            |                 |
|  | <u> </u>   |  |  |              | 3               |
|  | Claims   | 5  | 4  |              |                 |
|  |  | Claims   |  | 18           |                 |
|  | Applicant Arguments/Remarks Made in an Amendment   |  | 19   | 23           |                 |
| Warnings:                                    |  |  | ,  |              |                 |
| Information:                                 |  |  |  |              |                 |
| Information Disclosure Statement (IDS) Filed | 25462_Eighth_Supplemental<br>_US_IDS_FormSB_08a.p<br>df  | 755494   | no   | 4            |                 |
|  |  | b19929926a1cd7f084ec45717ab194f1<br>43ac888a   |  |              |                 |
| Warnings:                                    |  |  |  |              |                 |
| Information:                                 |  |  |  |              |                 |
| 4 NPL Documents                              | 25462_SEC_Special_Study.<br>pdf  | 655439   | no   | 4            |                 |
|  |  | e203d9d5656d1cf9351fb53ac990ce5c0<br>85b8b7f   |  |              |                 |
| Warnings:                                    |  |  | ,  |              |                 |
| Information:                                 |  |  |  |              |                 |
| 5 Fee Worksheet (PTO-06)                     | fee-info.pdf   | 8308   | no   | 2            |                 |
|  |  | 0ca3d9d9dcefe5e90002a88bf0cd6349<br>814c4fb9   |  |              |                 |
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.